

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## MICROLENS ARRAYS HAVING HIGH FOCUSING EFFICIENCY

the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## PRIOR FOREIGN APPLICATION(S)

Number	Country	Date Filed (mo/day/yr)	Priority Claimed (yes or no)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
60/222,032	July 31, 2000

0040257 072004  
T00E20 252T660

## U.S. APPLICATIONS

## PCT APPLICATIONS DESIGNATING THE U.S.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Residence: 0 / Honeoye Falls, New York 14472  
United States of America  
Citizenship: United States of America  
Post Office Address: 9642 Bean Hill Road  
Honeoye Falls, New York 14472  
United States of America

Full name of second  
joint inventor:

G. Michael Morris

Second inventor's  
signature: \_\_\_\_\_

*G. Michael Morris*

Date: 7/19/01

Residence:

Fairport, New York 14450

United States of America

Citizenship:

United States of America

Post Office Address:

~~67 Nettlesbrook Road~~

8 SCR Lane

Victor

~~Fairport, New York 14450~~

14564

United States of America

Full name of third  
joint inventor:

Tasso R. M. Sales

Third inventor's  
signature: \_\_\_\_\_

*Tasso R. M. Sales*

Date: 7/19/01

Residence:

Rochester, New York 14623

United States of America

Citizenship:

Brazil

Post Office Address:

135 West Squire Drive, #5

Rochester, New York 14623

United States of America

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ASSIGNMENT

FOR VALUE RECEIVED, We, **Geoffrey B. Gretton**, residing at 9642 Bean Hill Road, Honeoye Falls, New York 14472, **G. Michael Morris**, residing at 67 Nettlecreek Road, Fairport, New York 14450, and **Tasso R. M. Sales**, residing at 135 West Squire Drive, #5, Rochester, New York 14623, hereby sell, assign and transfer unto **Rochester Photonics Corporation**, a corporation of New York, having a place of business at 330 Clay Road, Rochester, New York 14623, as assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

**MICROLENS ARRAYS HAVING HIGH FOCUSING EFFICIENCY**

described in a provisional application for Letters Patent of the United States identified by attorney docket number **RPC-6** and having the following serial number and filing date:

Serial Number:     **60/222,032**

Filing Date:        **July 31, 2000**

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patent that may be granted therefor.

We request that any and all patents for said inventions be issued to said assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any

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convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Signed at Rochester Photocopy this 11 day of September, 2000.

Geoffrey B. Gretton  
Geoffrey B. Gretton

DECLARATION

I, DONALD G. MAUER whose full post office address is:  
16 KING'S COURT WAY ROCHESTER NY 14617  
declare as follows:

THAT I was personally present and did see Geoffrey B. Gretton who is personally known to me to be the person named in the above assignment duly sign and execute the same.

11/Sept. 2000  
Date

Donald G. Mauer  
Signature of Witness

DONALD G. MAUER  
Witness' Name Printed

Signed at Rochester Photonics this 13th day of  
September, 2000.

G. Michael Morris  
G. Michael Morris

DECLARATION

I, DALE A. BURALLI whose full post office address is:  
99 EASTLAND AVE, ROCHESTER, NY 14618  
declare as follows:

THAT I was personally present and did see G. Michael Morris who is  
personally known to me to be the person named in the above assignment  
duly sign and execute the same.

13 SEPTEMBER 2000  
Date

Dale A. Buralli  
Signature of Witness

DALE A. BURALLI  
Witness' Name Printed

Signed at ROCHESTER PHOTONICS this 13 day of  
SEPTEMBER, 2000.

Tasso R. M. Sales  
Tasso R. M. Sales

DECLARATION

I, Theodore Tiborio whose full post office address is:  
290 Applewood LN HILTON NY 14468  
declare as follows:

THAT I was personally present and did see Tasso R. M. Sales who is  
personally known to me to be the person named in the above assignment  
duly sign and execute the same.

9/13/2000  
Date

Theodore J. Tiborio  
Signature of Witness

Theodore J. Tiborio  
Witness' Name Printed

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Geoffrey B. Gretton, G. Michael Morris and  
Tasso R. M. Sales  
Serial No. : Not Yet Assigned  
Filed : Simultaneously Herewith  
For : MICROLENS ARRAYS HAVING HIGH FOCUSING  
EFFICIENCY

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

COMBINED CERTIFICATE UNDER 37 CFR 3.73(b) AND  
POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

I. Certificate Under 37 CFR 3.73(b)  
Establishing Right of Assignee to Take Action

Rochester Photonics Corporation, a corporation of New York, certifies that it is the assignee of the entire right, title and interest in the above patent application, which claims the benefit under 35 USC §119 of U.S. Provisional Application No. 60/222,032 filed July 31, 2000, by virtue of an assignment from the inventors of Application No. 60/222,032. A true copy of the assignment is attached hereto.

The undersigned is empowered to sign this certificate on behalf of the assignee.

II. Power Of Attorney By Assignee

Rochester Photonics Corporation, the assignee of the entire right, title and interest in the patent application identified above appoints the following attorneys to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Maurice M. Klee  
Mark W. Lauroesch  
Angela N. Nwaneri

Reg. No. 30,399  
Reg. No. 35,583  
Reg. No. 34,229

FILED " 522100

Send Correspondence and Direct Telephone Calls to:

Maurice M. Klee, Ph.D.  
Attorney at Law  
1951 Burr Street  
Fairfield, Connecticut 06430  
(203) 255-1400

ROCHESTER PHOTONICS  
CORPORATION

7/19/01  
(Date)

G. Michael Morris  
G. Michael Morris  
Chief Executive Officer

7/19/01 15:28:00